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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>G01N 33/543, 21/55</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 96/10178</b> <b>(43) International Publication Date:</b> 4 April 1996 (04.04.96)
<b>(21) International Application Number:</b> PCT/SE95/01099 <b>(22) International Filing Date:</b> 26 September 1995 (26.09.95)  <b>(30) Priority Data:</b> 9403245-5 26 September 1994 (26.09.94) SE  <b>(71) Applicant (for all designated States except US):</b> PHARMACIA BIOSENSOR AB [SE/SE]; S-751 82 Uppsala (SE).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> LÖFÅS, Stefan [SE/SE]; Svartbäcksgatan 99 A, S-753 35 Uppsala (SE).  <b>(74) Agents:</b> WIDÉN, Björn et al.; Pharmacia AB, Patent Dept., S- 751 82 Uppsala (SE).		<b>(81) Designated States:</b> JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> METHOD OF PRODUCING BILAYER LIPID MEMBRANES  <b>(57) Abstract</b>  In a method of producing a substrate surface supporting a continuous planar bilayer lipid membrane by fusing a micellar or vesicle preparation, preferably containing a membrane protein or other biologically active membrane-bound component, to a substrate surface supporting a self-assembled monolayer (SAM) of essentially straight long chain molecules, the long chain molecules of the self-assembled monolayer contain functional groups, and the micellar or vesicle preparation is covalently bound to the self-assembled monolayer via said functional groups.		

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**METHOD OF PRODUCING BILAYER LIPID MEMBRANES**

The present invention relates to the preparation of lipid membranes, and more particularly to the preparation of lipid membrane supporting surfaces suitable for use in biosensors.

There is currently a general demand for sensors based on the integration of lipid membrane components, such as membrane bound receptor proteins, into planar bilayer lipid membranes, so-called BLM's. Such lipid bilayers may form spontaneously, and are self-assembling under suitable conditions and with suitable surfaces. The BLM's formed may then be used for studying ligand-receptor interactions at the lipid-water interface.

Brian and McConnell, Proc. Natl. Acad. Sci. USA (1984) 81, 6159-6163 describes the spontaneous fusion of phospholipid vesicles to hydrophilic glass surfaces for studies with fluorescence techniques.

Poglitsch and Thompson (1990) Biochemistry 29, 248-254 describes the spontaneous fusion of phospholipid vesicles to hydrophilic glass surfaces by passing the vesicle solution through an assembly of a fused silica substrate and a microscope slide mounted together with a spacer of about 100  $\mu\text{m}$  thickness.

Zot et al. (1992) J. Cell Biol. 116, 367-376 discloses the preparation of planar lipid surfaces in a flow cell and the study of actin filament gliding on the lipid layer by fluorescence microscopy.

Terrettaz et al. (1993) Langmuir 9, 1361-1369 describes the formation of lipid monolayers by the adsorption of alkanethiols with hydrophobic terminal groups in a discontinuous dilution procedure. Interactions with membrane components were studied by surface plasmon resonance and impedance measurements.

Gitler et al., Bridging Research and Applications, 43-61, Eds. D. Kamely et al., 1991 Kluwer Ac Publ., and Vogel et al. (1994) 10, 197-210 disclose approaches to provide for a water layer between the support and the BLM which is

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desirable in order to obtain conditions suitable for transmembrane proteins. To this end, lipids are modified with an oligoethylene spacer and a thio group (thiol or disulphide). These thiolipids are then anchored to a gold surface together with an unmodified lipid, thereby spontaneously forming a BLM anchored via the thio groups to the metal surface. The oligoethylene spacer was introduced in order to create the desired water layer spacing.

Stelzle, M., et al. (1993) J. Phys. Chem. 97, 2974-2981 discloses the preparation of a bilayer lipid membrane on a biosensor device by first depositing a negatively charged monolayer of a carboxy mercaptan onto gold and then adding vesicles of positively charged dioctadecyl-dimethylammonium bromide which fuse spontaneously to the negative layer, or alternatively, fusing negatively charged dimyristoylphosphatidylglycerol to the negative layer by the of addition of calcium ions.

The object of the present invention is to provide improvements in the preparation and use of planar BLM's, and more particularly to improve the stability of the formed BLM's. According to the present invention, this and other objects and advantages are achieved by covalently binding the BLM to a self-assembled monolayer on a substrate surface.

The present invention therefore provides a method of producing a substrate surface supporting a continuous planar bilayer lipid membrane by fusing a micellar or vesicle preparation, preferably containing a membrane protein or other biologically active membrane-bound component, to a substrate surface supporting a self-assembled monolayer (SAM) of essentially straight long chain molecules, which method is characterized in that the long chain molecules of the self-assembled monolayer contain functional groups, and that the micellar or vesicle preparation is covalently bound to the self-assembled monolayer via said functional groups.

By anchoring the lipid bilayer to the SAM by covalent bonds, an efficient anchoring process is obtained which

insures stability of the bilayer against various environments, such as different buffers and regeneration solutions. Also, in contrast to the approaches described by Gitler et al. and Vogel et al., respectively, supra, on one  
5 hand, the fraction of the surface covered by the bilayer can be controlled and a reproducible surface created. On the other hand, bare metal parts uncovered by the bilayer which are highly active in adventitious adsorption or show a hydrophobic character, and therefore may have a negative  
10 effect on the formation of a water layer, are avoided.

Surfaces suitable for the preparation of continuous planar bilayer membranes support self-assembled monolayers, so-called SAM's, of essentially straight chain, preferably hydrocarbon derived, molecules, the free ends of which have  
15 functional groups selected to provide surface characteristics for optimal membrane formation in terms of stability, functionality, etc. Such surfaces can thus be defined and created for optimal binding of various types of membrane structures by choosing different terminal groups  
20 or by mixing molecules with different terminal groups. Optionally, the functionalities of the surface may be arranged to exhibit gradients in one or more directions.

The above-mentioned long chain hydrocarbon derived molecules may, for example, be of the type described in our  
25 US-A-5,242,828 (the entire disclosure of which is incorporated by reference herein), i.e. hydrocarbons, optionally interrupted by heteroatoms, of a length exceeding 10 atoms, and having a functionality in one end for anchoring to the surface, and the desired functionality  
30 for the present purposes in the other end.

In an advantageous embodiment, the self-assembled monolayer is selected to provide a hydrophilic surface. A neutral hydrophilic surface may, for example, be provided by a 16-mercaptohexadecanol (THD). Correspondingly, a long  
35 chain alkane thiol with a terminal carboxylic group will provide a negatively charged hydrophilic surface. Similarly, a positively charged surface will be obtained by a long chain alkane thiol with a terminal amine group.

Other desired surface characteristics may be accomplished by mixtures of two or more of the above alkane thiols. Various other terminal groups are, of course, also conceivable and corresponding alkane thiols may be combined in different ways.

The substrate may e.g. be a metal, such as gold.

The SAM forming molecule, such as an alkane thiol, can be chosen in such a way that the best possible surface is obtained for the creation of the desired hydrophilic environment of the layer between the support and the BLM. For example, if alkane thiols with hydroxy groups form the SAM, a hydrophilic surface is obtained as mentioned above, and the hydroxy groups can then be used for covalent attachment of the lipids. Other functionalities, such as acidic or basic groups can conveniently be introduced via the SAM, optionally by mixtures of different alkane thiols. Also, the metal surface is completely passivated and there is no risk for unwanted adsorption to the metal.

The lipid layer should preferably be imperfect to be able to incorporate transmembrane proteins or other biologically active membrane-bound components.

To prepare a bilayer lipid membrane surface, a micellar or vesicle preparation, preferably containing a membrane protein or other biologically active membrane-bound component, is allowed to covalently fuse, optionally via a hydrophilic spacer, to the lipid layer (SAM), optionally after modification of the latter. The modification of metal surfaces with alkane thiols for biosensor purposes and the advantages of such surfaces have been described in the above-mentioned US-A-5,242,828.

There are several possible methods for covalently binding the bilayer lipid membrane to the SAM which can be optimized for different purposes. Thus, in one method, the covalent coupling is performed directly to the SAM, using, for example, a reactive phosphatidyl ester which is allowed to react with the alcohol group in a SAM made from a hydroxyalkane thiol.

Alternatively, the SAM is modified with a hydrophilic spacer, such as an oxyethylene group, which is then used for coupling of the lipid. This modification of the SAM can either be made directly by coupling of a suitable  
5 oligoethylene molecule or by first modifying the alkane thiol with an oligoethylene tail and then introducing it on the surface together with an unmodified alkane thiol in order to form a mixed SAM. Using this approach, the fraction of surface modified with oligoethylene chains can  
10 easily be varied and controlled in order to create the optimal conditions.

A further possibility is to modify the lipid with the hydrophilic spacer and then couple the modified lipid to the SAM via a functionality on the hydrophilic spacer that  
15 is reactive towards the SAM.

By using a SAM composed of a mixture of two different alkane thiols where only one of the alkane thiols has reactivity for the lipid (optionally modified with a hydrophilic spacer), the degree of modification can easily  
20 be controlled to the desired level.

It is readily understood that there are plentiful of alternative covalent attachment methods. The SAM can be modified with a nucleophilic group like an amine (primary, secondary or tertiary), either directly or via a spacer  
25 such as the oxyethylene group mentioned above. Also nucleophilic groups like thiols, hydrazides, carboxylates are possible alternatives. In those cases the liposomes should contain lipids where the polar head groups are modified with electrophilic groups like phosphatidyl  
30 esters, or activated carboxylic based electrophiles such as esters or acid halides. Also lipid head groups containing carbonyl, epoxide, vinyl groups are conceivable as reactive counterparts to the nucleophiles on the surface. For selective reactions towards thiol functions, pyridyl  
35 disulfides, maleimides or haloacetate groups are preferred.

Alternatively, the nucleophilic group can be placed in the liposome, such as an amine or thiol containing lipid. Exemplary of these are lipids with phosphatidylethanolamine



head groups, providing a reactive primary amine. In those cases, the SAM should be modified with an electrophilic functionality such as an activated carboxylic ester or the like, optionally via a spacer linkage such as an oxyethylene group. Other pairs of nucleophilic/-  
5 electrophilic groups are also possible alternatives here.

The restrictions for choosing functionalities for the formation of a covalent anchoring of the lipid bilayer is limited only by the stability of the SAM layer and the  
10 liposomes. For example, as liposomes normally are formed in water solution and the fusion to the surface is intended to take place under aqueous conditions at 5 to 50 °C, the choice of suitable reactants must be compatible with such conditions. This is readily understood to those skilled in  
15 the art, and also that the listing of possible alternatives above is not complete, but that a number of alternative chemical functions can be used for the formation of covalent bonds.

The continuous planar membranes prepared according to the invention may conveniently be used for studies of  
20 interactions with membrane-bound components by means of surface sensing techniques. In this case, the membrane is preferably formed in a flow cell using a controlled laminar flow, and the subsequent interaction studies are performed  
25 in the same flow cell.

This method offers several advantages. Thus, forming the membrane in situ in a flow cell system with a combined flow and measuring cell increases the reproducibility, speeds up the process and reduces the risk of contamination  
30 and destruction of the membrane in the following interaction studies. Further, the use of a surface sensing technique permits the formation of the membrane to be followed in real-time. This is, of course, also advantageous in the subsequent interaction studies with  
35 regard to reproducibility, rapidness and for quantification reasons.

The term "surface sensing techniques" as used herein refers to techniques where the adsorption of the lipid

layer to the surface as well as subsequent interactions with the lipid layer cause measurable changes of a property of the sensing surface. Exemplary of such techniques are those based on mass detecting methods, such as

5 piezoelectric, optical, thermo-optical and surface acoustic wave (SAW) methods, and electrochemical methods, such as potentiometric, conductometric, amperometric and capacitance methods.

Among optical methods may particularly be mentioned

10 those that detect mass surface concentration or refractive index, such as reflection-optical methods, including both internal and external reflection methods, e.g. ellipsometry and evanescent wave spectroscopy (EWS), the latter including surface plasmon resonance spectroscopy (SPRS),

15 Brewster angle refractometry, critical angle refractometry, frustrated total reflection (FTR), evanescent wave ellipsometry, scattered total internal reflection (STIR), optical wave guide sensors, evanescent wave based imaging, such as critical angle resolved imaging, Brewster angle

20 resolved imaging, SPR angle resolved imaging, etc., as well as methods based on evanescent fluorescence (TIRF) and phosphorescence.

In the Example described below, a commercial instrument based on surface plasmon resonance (SPR)

25 detection was used (BIAcore™, Pharmacia Biosensor AB, Uppsala, Sweden). The phenomenon of SPR is well known. In brief, SPR is observed as a dip in intensity of light reflected at a specific angle from the interface between an optically transparent material, e.g. glass, and a thin

30 metal film, usually silver or gold, and depends on among other factors the refractive index of the medium (e.g. a sample solution) close to the metal surface. A change of refractive index at the metal surface, such as by the adsorption or binding of material thereto, will cause a

35 corresponding shift in the angle at which SPR occurs. To couple the light to the interface such that SPR arises, two alternative arrangements are used, either a metallized diffraction grating (Wood's effect), or a metallized glass

prism or a prism in optical contact with a metallized glass substrate (Kretschmann effect). For further details on SPR, reference is made to our WO 90/05295. Applications of the invention are described below.

5       The lipid bilayers are preferably formed from liposomes (spherical vesicles), for example, from phospholipids.

10       In the following, the invention is illustrated by a non-limiting Example which describes the fusion step in the method of the invention. Reference is made to the accompanying drawings, wherein:

15       Fig. 1 is sensorgram showing the response vs time for three consecutive contactings of liposome solution with a hydrophilic sensor surface to form a lipid bilayer thereon containing ganglioside GM1.

      Fig. 2 is a corresponding sensorgram to that in Fig. 1 and shows the response when sequentially contacting the lipid surface with negative control (BSA), cholera toxin, and hydrochloric acid.

20       Fig. 3 is a corresponding sensorgram to those in Figs. 1 and 2 and shows the response for the formation of a highly hydrophobic lipid layer and the subsequent contacting of the lipid layer with cholera toxin

#### EXAMPLE 1

25       A gold-coated glass surface was placed in a petri dish and a 5 mM solution of 16-mercaptohexadecanol in ethanol/water 80/20 was poured over the surface. The petri dish was provided with a cover and incubated on a shaker incubator at 40° C for 20 minutes. The surface was washed  
30       with 5x50 ml ethanol, 50 ml ethanol/water 80/20, and 5x50 ml water. The hydrophilic properties of the surface were confirmed by measuring the contact angles of water, giving values of < 10°. (Unmodified gold surfaces show contact angles of typically > 75°, due to uncontrolled vapour  
35       contamination of the surface by nonpolar compounds.)

      The sensor surface was introduced into a commercial biosensor instrument, BIAcore™ (Pharmacia Biosensor AB, Uppsala, Sweden), which is an SPR measuring instrumentation

with flow cells. This instrument enables monitoring of mass changes (adsorptions and desorptions) in the vicinity of the sensor surface as a function of time under constant flow conditions.

5       Liposomes composed of 50 mole% dipalmitoyl phosphatidylcholine, 40 mole% dipalmitoyl phosphatidylethanolamine, 10 mole% cholesterol and 6 mole% ganglioside  $GM_1$  were prepared by detergent depletion with gel chromatography according to the procedure described by  
10   Mimms et al., Biochemistry (1980) 20, 833-840. Liposomes with a diameter of 50 - 150 nm were obtained and used in the following.

      A 20  $\mu$ M solution of the liposomes (in running buffer: 10 mM HEPES with 0.15 M sodium chloride, pH 7.4) was  
15   injected over the hydrophilic surface. Fig. 1 shows the response curve obtained after three consecutive injections of the liposome solution, (1), (2) and (3). The plateaus after the end of sample pulses (2) and (3) indicate the formation of a stable lipid layer on the sensor surface.  
20   Fig. 2 shows the injection of (1) bovine serum albumin (50  $\mu$ g/ml in running buffer) as a negative control, (2) cholera toxin, subunit B (50  $\mu$ g/ml in running buffer) and (3) 100 mM hydrochloric acid. The albumin injection indicates very low non-specific binding to the modified surface (44  
25   resonance units,  $RU \approx 44 \text{ pg/mm}^2$ ). The cholera toxin injection shows the specific binding to the ganglioside  $GM_1$  incorporated in the lipid layer ( $941 \text{ RU} \approx 0.94 \text{ ng/mm}^2$ ). The injection of the hydrochloric acid illustrates the regeneration of the lipid surface by disruption of the  
30   specific interaction between the cholera toxin and the ganglioside. By the one minute pulse, 80 % of the bound cholera toxin is desorbed from the surface, which then is ready for a renewed binding (not shown).

      As a comparison, a gold-coated surface was modified  
35   with pentadecan-1-thiol according to the same procedure as described above. This modified surface is highly hydrophobic, with a contact angle of  $> 105^\circ$ . Fig. 3 shows the injections [(1) and (2)] of two consecutive pulses of

the liposome solution as described above followed by (3)  
the injection of the cholera toxin solution. Although Fig.  
3 indicates the formation of a lipid layer from the  
liposome solution, the specific activity of this layer for  
5 binding the cholera toxin is much lower than for the  
situation described above (182 and 941, RU, respectively).  
This example illustrates the importance of the surface  
characteristics for the formation of functionally active  
lipid layers. In contrast to the hydrophobic surface, the  
10 hydrophilic surface is likely to yield lipid bilayers with  
resemblance to the biological membrane and thus a high  
functional activity.

The invention is, of course, not restricted to the  
embodiments described above, but encompasses modifications  
15 and variants obvious to the skilled person and covered by  
the general inventive concept as defined in the following  
claims.

## CLAIMS

1. A method of producing a substrate surface supporting a continuous planar bilayer lipid membrane by fusing a micellar or vesicle preparation, preferably containing a membrane protein or other biologically active membrane-bound component, to a substrate surface supporting a self-assembled monolayer (SAM) of essentially straight long chain molecules, **characterized** in that the long chain molecules of the self-assembled monolayer contain functional groups, and that the micellar or vesicle preparation is covalently bound to the self-assembled monolayer via said functional groups.
2. The method according to claim 1, **characterized** in that the micellar or vesicle preparation is covalently bound to said functional groups of the monolayer via hydrophilic spacer molecules.
3. The method according to claim 1 or 2, **characterized** in that the straight long chain molecules are derived from hydrocarbons.
4. The method according to claim 3, **characterized** in that the straight long chain molecules comprise alkane thiols, preferably having at least 10 atoms in their chain.
5. The method according to any one of claims 1 to 4, **characterized** in that the straight long chain molecules are selected to provide a hydrophilic surface.
6. The method according to claim 5, **characterized** in that the straight long chain molecules comprise hydroxyalkane thiols.
7. The method according to any one of claims 1 to 6, **characterized** in that the straight long chain molecules

comprise a mixture of molecules with different functionalities.

8. The method according to any one of claims 1 to 7,  
5 **characterized** in that the straight long chain molecules are bound to a metal, e.g. gold.
9. The method according to any one of claims 1 to 8,  
10 **characterized** in that the bilayer lipid membrane is formed in a flow cell using a controlled laminar flow.
10. The method according to any one of claims 1 to 9,  
15 **characterized** in that the method further comprises studying interactions with the formed lipid bilayer membrane by a surface sensing technique which detects the interactions as measurable changes in a property of the surface.
11. The method according to claim 10, **characterized** in  
20 that the membrane formation is monitored by said surface sensing technique, and that the subsequent study of interactions with the formed bilayer lipid membrane by said surface sensing technique is performed in the same reaction vessel.
- 25 12. The method according to claim 10 or 11, **characterized** in that said surface sensing technique is based on mass sensing, preferably optically by evanescent wave sensing, e.g. SPR.

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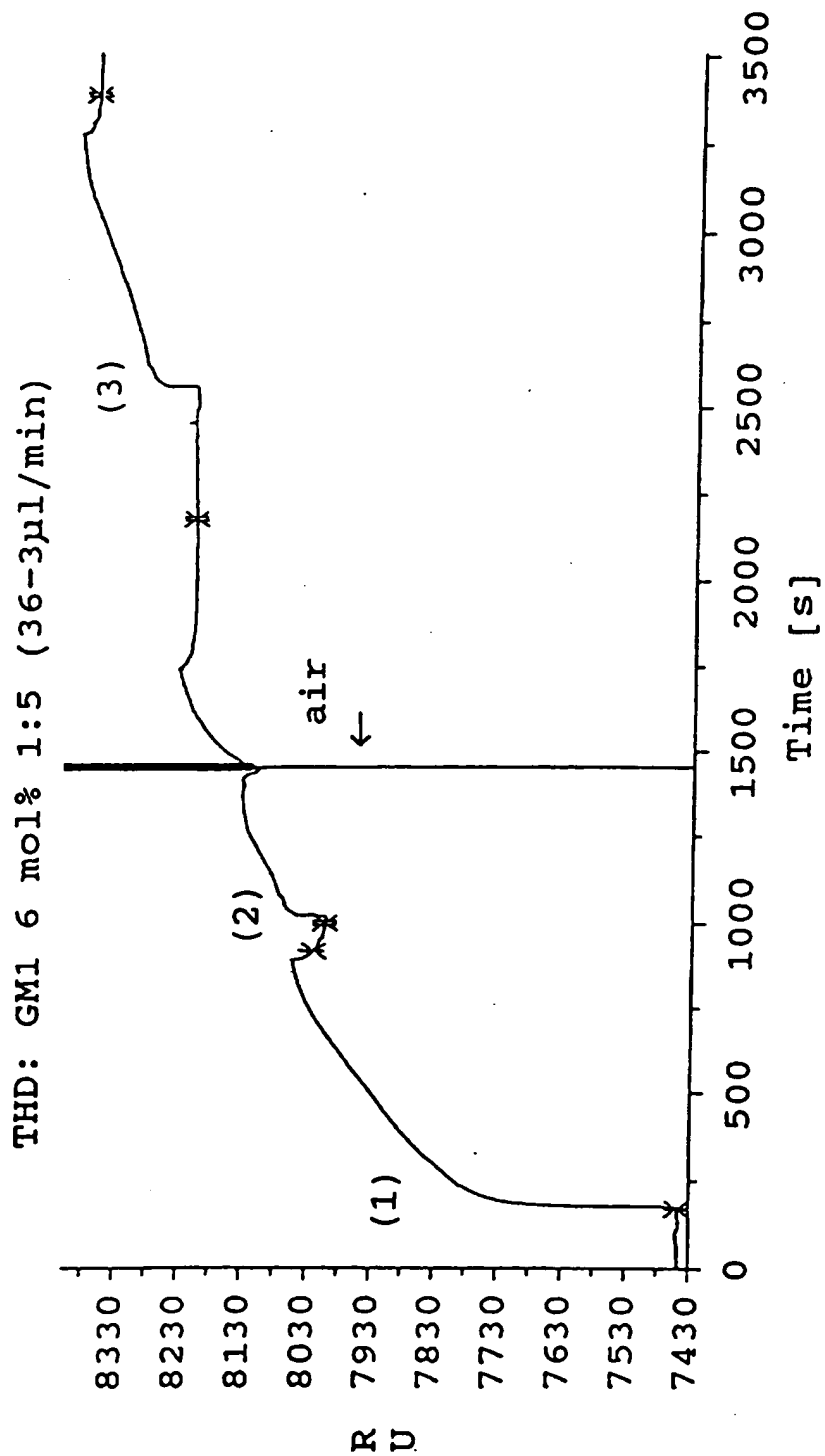


FIG. 1



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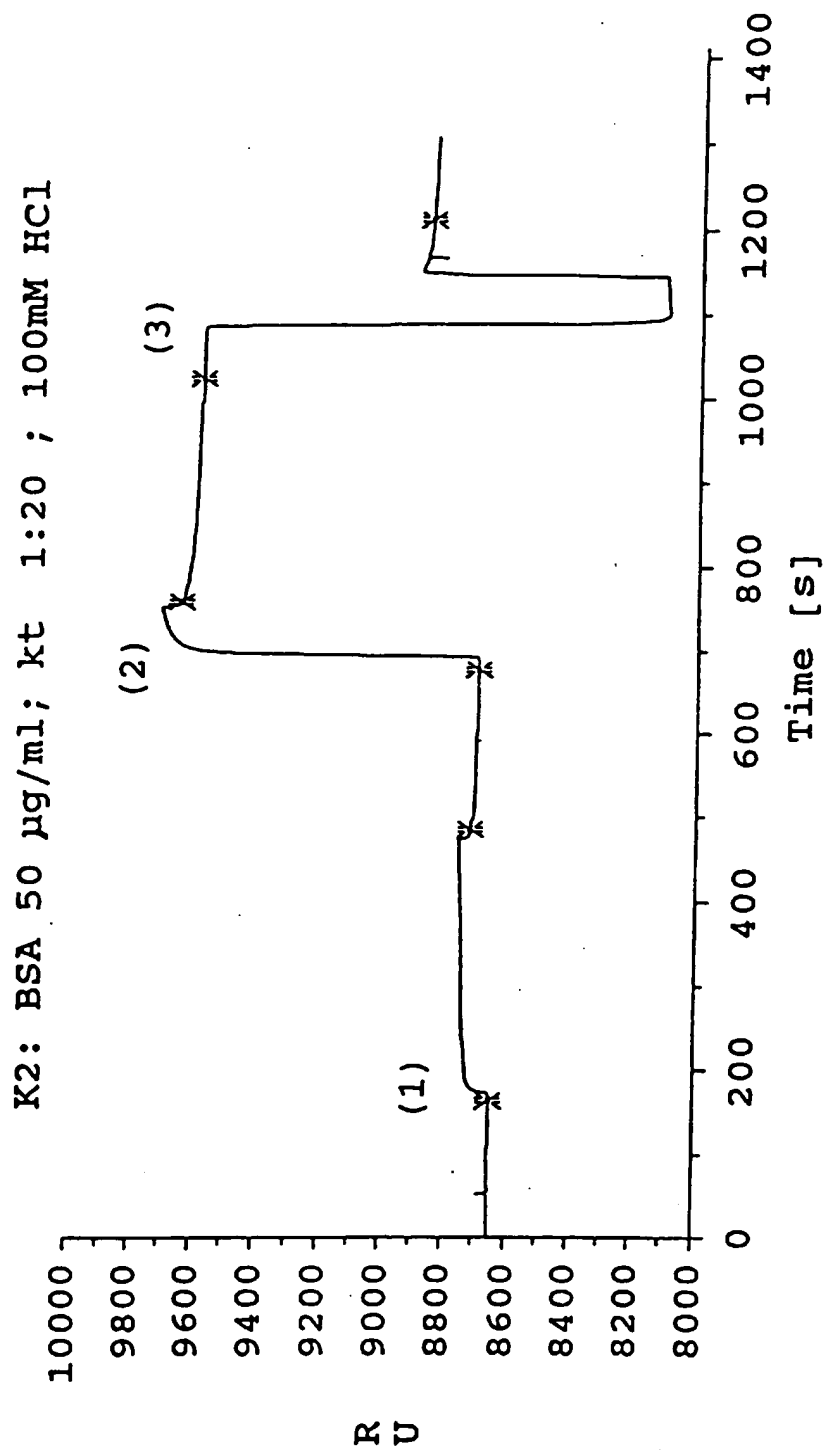


FIG. 2

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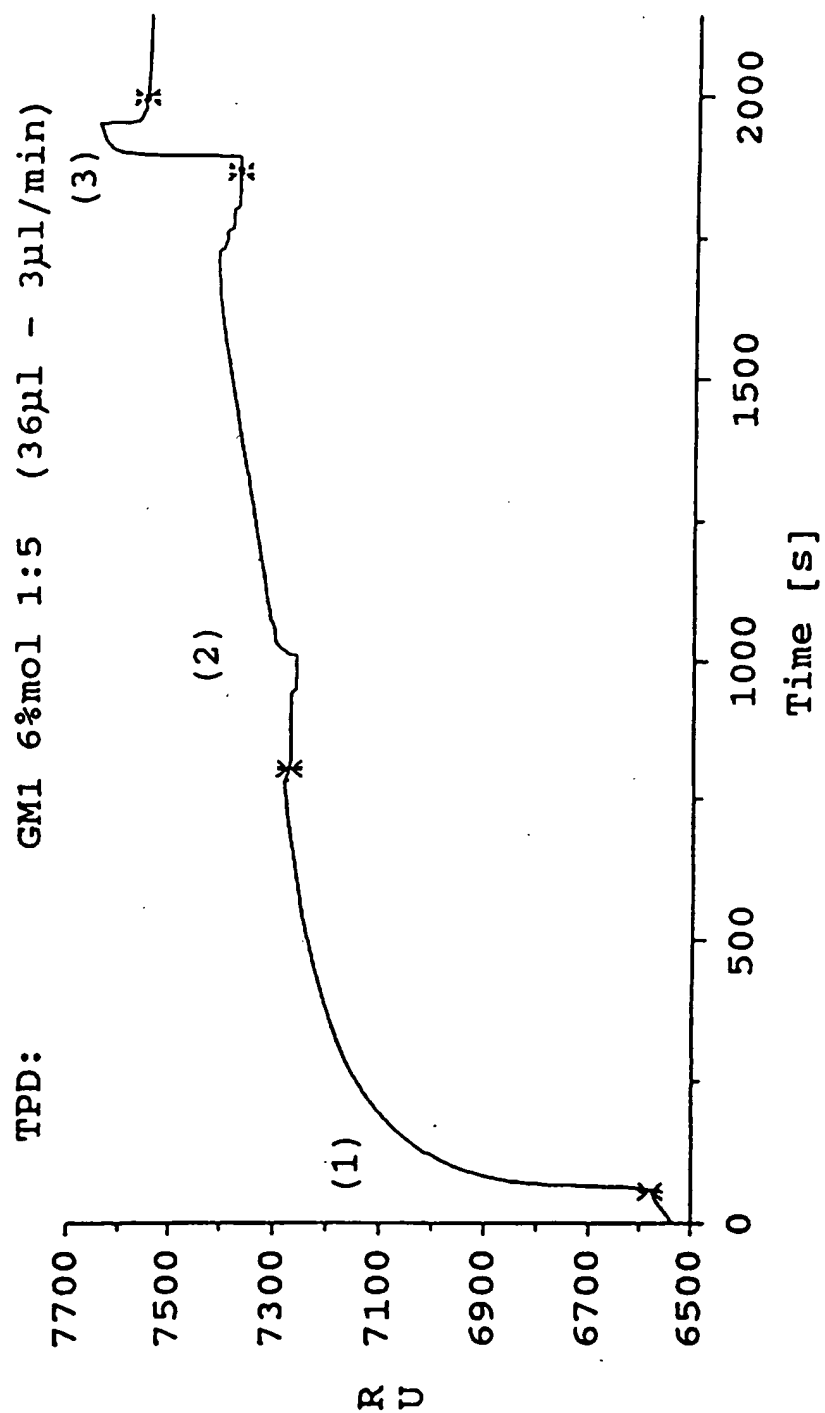


FIG. 3

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**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/SE 95/01099

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC6: G01N 33/543, G01N 21/55

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC6: G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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WPI, SCISEARCH, MEDLINE, CHEMICAL ABSTRACTS

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Biochimica et Biophysica Acta, Volume 1196, 1994, G. Brink et al, "Self assembly of covalently anchored phospholipid supported membranes by use of DODA-Suc-NHS-lipids", page 227 - page 230, see figures 1, 3 and 4  --	1-3,5,10-12
X	Biophysical Journal, Volume 67, Sept 1994, Claus Duschl et al, "Biologically addressable Monolayer Structures Formed by Templates of Sulfur-Bearing Molecules", page 1229 - page 1237, see figures 1, 6 and 8 and page 1236  Y	1-12  1-12

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

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C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9321528 A1 (EUROPEAN INSTITUTE OF TECHNOLOGY), 28 October 1993 (28.10.93), see fig 1 and pages 1-3  --	1,2,10-12
A	Langmuir, Volume 10, No 10, 1994, Holger Lang et al, "A New Class of Thiolipids for the Attachment of Lipid Bilayers on Gold Surfaces", page 197 - page 210, see pages 205-206 and 207-210  --	1,2,10-12
A	Thin Solid Films, vol. 210/211, 1992, Holger Lang et al: "Self-assembly of thiolipid molecular layers on gold surfaces: optical and electrochemical characterization", see page 818- page 821; see fig. 1.  --	1,2,10-12
A	D. Kamely et al. (eds), Biotechnology: Bridging Research and Applications, Kluwer Academic Publishers, 1991, Carlos Gitler et al: "Biosensors Based on Solvated Bilayers Attached to Electrodes", pp. 43-61, see fig 4 and pages 48 and 55-56  --	1,2,10-12
A	Langmuir, Volume 9, 1993, Samuel Terrettaz et al, "Protein Binding to Supported Lipid Membranes: Investigation of the Cholera Toxin-Ganglioside Interaction by Simultaneous Impedance Spectroscopy and Surface Plasmon Resonance" page 1361 - page 1369  --	1
Y	WO 9005303 A1 (PHARMACIA AB), 17 May 1990 (17.05.90)  --	1-12
Y	EP 0574000 A1 (BOEHRINGER MANNHEIM GMBH), 15 December 1993 (15.12.93)  --	1-12

## INTERNATIONAL SEARCH REPORT

International application No.

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## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP 0485874 A2 (F. HOFFMANN-LA ROCHE AG), 20 May 1992 (20.05.92)  -- -----	1-12

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

11/12/95

International application No.  
PCT/SE 95/01099

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
WO-A1-	9321528	28/10/93	NONE		
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			JP-T-	4501605	19/03/92
			SE-B,C-	462454	25/06/90
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			US-A-	5242828	07/09/93
			US-A-	5436161	25/07/95
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